



10-23-06

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DM-10/2003
PATENT

ATTORNEY DOCKET: PPL-5-PCT-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
RAMACHANDRAN et al.

Group Art Unit: 3765

Serial No: 10/549,974

Examiner: Unknown

Filed: September 20, 2005

Our Account No: 04-1403

Confirmation No: 3464

Customer No: 22827

Title: AUTOMATIC FIBER PROCESSING SYSTEM INCLUDING METHOD AND APPARATUS
FOR PRODUCING END-ALIGNED FIBER SAMPLESCommissioner for Patents
U.S. Patent and Trademark Office
Post Office Box 1450
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[X] Attached hereto is:

- a.[X] A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b.[] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s): _____ item(s)
- c.[] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____
[] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[X] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[X] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[] Certification per Rule 97(e); OR
- ii.[] Filing Fee per Rule 17(p)\$180.00
- c.[] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____
Address: _____Signature: _____
Date: _____

- 4.[X] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.
- 5.[] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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DORITY & MANNING, ATTORNEYS AT LAW, P.A.

By: JAMES M. BAGARAZZI

Reg. No: 29,609

Signature: James M. Bagarazzi

Date: Oct 20, 2006



DM-10/2003
Sheet 1 of 1

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: PPL-5-PCT-US	Serial Number: 10/549,974
	Applicants: RAMACHANDRAN et al.	
	Filing Date: 09/20/2005 Confirmation No: 3464	Group Art Unit: 3765

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

(1) This item is cumulative, per Rule 98(c)

(2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
USSN _____, filed _____, or
USSN _____, filed _____
Relied on under 35 U.S.C. Section 120, per Rule 98(d)

(3) Both reasons (1) and (2) apply

(4) No legible complete copy is possessed, in custody of controlled, or readily available

(5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE
	GHORASHI et al.	5,178,007	01/1993	5
	SHOFNER et al.	5,367,747	11/1994	5
	MONDINI	5,475,851	10/1995	5
	SHOFNER et al.	5,483,844	01/1996	5
	SHOFNER et al.	5,537,868	07/1996	5
	GHORASHI et al.	5,892,142	04/1999	5
	SHOFNER et al.	5,890,264	04/1999	5
	GHORASHI et al.	5,907,394	05/1999	5
	GHORASHI et al.	5,943,907	08/1999	5
	GHORASHI et al.	6,098,454	08/2000	5
	GHORASHI et al.	6,112,131	08/2000	5
	SHOFNER et al.	6,598,267	07/2003	5

U.S. PATENT APPLICATION PUBLICATIONS				
EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION	COPY NOTE
				YES NO N/A	

NO means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).



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Title: AUTOMATIC FIBER PROCESSING SYSTEM INCLUDING METHOD AND APPARATUS
FOR PRODUCING END-ALIGNED FIBER SAMPLES

"Express Mail" Mailing Label Number: EV 376131106 US

Date of Deposit: October 20, 2006

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Denise R. Ginn

(Typed or printed name of person mailing paper or fee)

Denise R. Ginn

(Signature of person mailing paper or fee)



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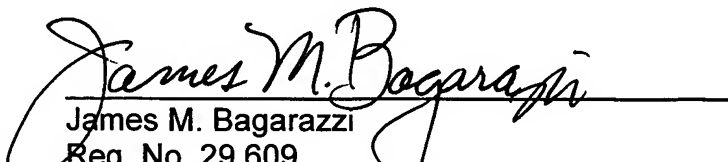
SUBMISSION OF FOREIGN SEARCH REPORT

Enclosed herewith is a copy of a search report issued in respect of the
corresponding PCT patent application. The references submitted in the Information
Disclosure Statement that accompanies this submission are indicated under categories
A and E in the enclosed search report.

Respectfully submitted,

DORITY & MANNING, P.A.

DATED: Oct 20, 2006


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